### Tissue Quality Index (TQI): A Molecular Test to Estimate Time to Fixation for Formalin Fixed Paraffin Embedded Tissue

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## **Disclosure/Disclaimer**

- I am a consultant to, stockholder in, and scientific co-founder of HistoRx Inc. the exclusive licensee of the AQUA<sup>®</sup> technology
- I am an author on the Yale held patent on the AQUA technology and receive royalties.
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The central problem: Standardization of Protein Assessment of Formalin-Fixed Paraffin-Embedded tissue

- Definition of Extrinsic vs Intrinsic Control
- Solution of the Extrinsic control problem
- Progress toward an Intrinsic control or Tissue Quality Index (previously designated TIC for tissue immunocompetence index)

## Extrinsic vs Intrinsic Controls

- Extrinsic controls; control for and standardize all the processes from the stainer through the analysis
- Intrinsic controls; control for and standardize all the processes from the patient to the stainer (pre-analytic varibles)

# Generating our solution to quantitative measurement of protein on slides

### Available Software: Think like a human

Assign significance to morphologically defined entities and use feature extraction to emulate human

assign



http://www.tissuestudio.com/

Example: a nuclear protein emulates the human definition of nucleus and finds round or roundish entities, then counts signal within the roundish entities AQUA: Think like a molecule Selection of regions only as a function of colocalization of molecular interactions



Example: a nuclear protein is measured by colocalization with DAPI in a cytokeratin positive region

# AQUA<sup>®</sup>: objective analyte measurement on a tissue slide based on co-localization

Step 1: Mask (define region of interest, exclude stroma, blank space, etc) = colocalization with Cytokeratin for carcinoma

Step 2: Define the numerator (target) and denominator (compartment)



**Step 3:** Calculate the AQUA score

Step 4: Convert to absolute concentration or normalize to set of uniform standards

### Generating the AQUA® score



TMA-Tissue Microarray WTS-Whole Tissue Section





**Estrogen Receptor** 



Combine DAPI image and cytokeratin image then cluster to assign each pixel to a subcellular compartment





Σ target intensityin compartment pixels= AQUAΣ compartmentscorepixel area

Development and Commercialization Of a Quantitative Protein Measurement Technology (AQUA) from the lab to the patient





# Precision Results (ER-alpha)



|                | Pearson R | Slope |
|----------------|-----------|-------|
| Day 1 v. Day 2 | .97       | .97   |
| Day 1 v. Day 3 | .97       | 1.01  |
| Day 2 v. Day 3 | .98       | 1.04  |

%CV = 4.2

Mark Gustavson and Jason Christiansen



ER antibody used is 1D5

**Alley Welsh** 

### Lowest positive vs. highest negative











### Discordant classification of ER status in YTMA 130 cohort



Two example discordant cases

### What is the cause of the discordance?

- Is Q-IF more sensitive than IHC?
- Variation in DAB from lab-to-lab?
- Variation in Hematoxylin counterstain from lab to lab?



## The problem is the Hematoxylin



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### Gill's Hematoxylin - Specific for Staining Nuclei Three formulations for flexibility in nuclear staining.

Gill's Hematoxylin No. 1 for Cytology. (Single Strength) Lower strength formulation, ideal for staining cytology.

Gill's Hematoxylin No. 2 for Histology and Cytology. (Double Strength) This intermediate formulation is used as a counterstain for immunohistochemistry (IHC) chromogens and routine Histology. It is excellent for more intense cytological staining.

Gill's Hematoxylin No. 3 for Histology. (Triple Strength) The strongest formulation of the stain provides greater intensity for histological staining of nuclei with shorter staining times.

entiation in an acid solution is unnecessary. Nucleoli are delicately stained so that their acidophilia may be seen. The colors of counterstains have no interference from nuclear staining with Gill's Hematoxylin formulas.

#### **Chemical Principles of Hematoxylin**

Hematoxylin is derived from the extract of logwood and is isolated as a mixture of hematoxylin and hematein. For effectiveness as a stain, hematoxylin must be oxidized to hematein, which is then combined with a metallic iron mordant to increase the selectivity of the stain for chromatin. Sodium iodate is a convenient oxidizing agent while aluminum sulfate is the mordant.<sup>6</sup> Acetic

# Hematoxylin Confounds Automation

#### RESEARCH ARTICLE



IMAGING

### Systematic Analysis of Breast Cancer Morphology Uncovers Stromal Features Associated with Survival

Andrew H. Beck,<sup>1,2</sup>\* Ankur R. Sangoi,<sup>1,3</sup> Samuel Leung,<sup>4</sup> Robert J. Marinelli,<sup>5</sup> Torsten O. Nielsen,<sup>4</sup> Marc J. van de Vijver,<sup>6</sup> Robert B. West,<sup>1</sup> Matt van de Rijn,<sup>1</sup> Daphne Koller<sup>7†</sup>

The C-Path system permits the quantification of thousands of morphologic features in breast cancer microscopic images facilitating the construction of a robust prognostic model and the discovery of new prognostically significant morphologic phenotypes in breast cancer.

Our results suggest that, prior to applying C-Path to images from a new institution that uses a different slide processing regimen, it may be useful to train the epithelial/stromal classifier on a subset of images from the ew institution. Should be "will be necessary"

## Extrinsic vs Intrinsic Controls

- Extrinsic controls control for and standardize all the processes from the stainer through the analysis
- Intrinsic controls control for and standardize all the processes from the patient to the stainer (Pre-analytic variables)

# Goals of our OBBR Contract/Project

- Development of a Tissue Quality Index (TQI):
  - by developing a quantitative intrinsic control that can measure the degree of degradation of any FFPE sample.
  - Validation of the TQI
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# Pre-Analytic Variables; Can we treat them as a black box?

If we cannot control preanalytical variables can we quantify the damage or tissue degradation caused by them?

Can we disqualify specimens for companion dx testing?



### Approach



#### Antibody Validation (Overview)



### Review

### Antibody validation

Jennifer Bordeaux, Allison W. Welsh, Seema Agarwal, Elizabeth Killiam, Maria T. Baquero, Jason A. Hanna, Valsamo K. Anagnostou, and David L. Rimm Department of Pathology, Yale University School of Medicine, New Haven, CT, USA

*BioTechniques* 48:197-209 (March 2010) doi 10.2144/000113382 Keywords: antibody; validation; immunohistochemistry; immunofluorescence

Antibodies are among the most frequently used tools in basic science research and in clinical assays, but there are no universally accepted guidelines or standardized methods for determining the validity of these reagents. Furthermore, for commercially available antibodies, it is clear that what is on the label does not necessarily correspond to what is in the tube. To validate an antibody, it must be shown to be specific, selective, and reproducible in the context for which it is to be used. In this review, we highlight the common pitfalls when working with antibodies, common practices for validating antibodies, and levels of commercial antibody validation for seven vendors. Finally, we share our algorithm for antibody validation for immunohistochemistry and quantitative immunofluorescence.

Validated



Two fold redundancy N=125, tumor=93, normal=2, cell lines=10 control breast tumor=10, control lung tumor = 10 <u>Collected by Dr. David Hicks and colleague, University of Rochester Medical Center</u>

#### Summary of markers, which were titrated and validated up to date:

| Symbol                                    | Description  |        | Antibody              |            |                             | Supplier                    |  |  |
|---|--|--------|-----------------------|------------|-----------------------------|-----------------------------|--|--|
|   |  | Origin | Clone/Isotype         | Catalog #  | Validated                   |                             |  |  |
| Markers of Cold Ischaemia                 |  |        |                       |            |                             |                             |  |  |
| ACTB                                      | Beta-Actin   | Rabbit | 13E5/lgG              | 13E5/lgG   | 13E5/lgG                    | Cell Signaling Technology   |  |  |
| TUBB                                      | Beta-Tubulin   | Rabbit | pF3/lgG               | 2128       | yes                         | Cell Signaling Technology   |  |  |
| GAPDH                                     | Glyceraldehyde-3-phosphate dehydrogenase             | Rabbit | 14C10/lgG             | 2118       | yes                         | Cell Signaling Technology   |  |  |
| HIST4                                     | Histone 4  | Mouse  | L64C1                 | 2935       | yes Cell Signaling Techno   |                             |  |  |
| HIST3                                     | Histone 3  | Mouse  | 96C10/lgG1, kappa     | 3680       | yes                         | Cell Signaling Technology   |  |  |
| HIST2A                                    | Histone 2A   | Mouse  | L88A6/lgG1            | 3636       | no                          | no Cell Signaling Technolog |  |  |
| RPL19                                     | Ribosomal Protein 19                                 | Mouse  | lgG2a/K-12            | sc-100830  | no Santa Cruz Biotechnolo   |                             |  |  |
| RPL9                                      | Ribosomal Protein 9                                  | Mouse  | lgG1/ST-7             | sc-100828  | no Santa Cruz Biotechnology |                             |  |  |
| RPS16                                     | Ribosomal Protein 16                                 | Rabbit | polyclonal            | sc-102087  | no                          | o Santa Cruz Biotechnology  |  |  |
| LMNA/C                                    | Lamin A/C  | Rabbit | polycional            | 2032       | yes                         | Cell Signaling Technology   |  |  |
| LDHA                                      | Lactat Dehydrogenase                                 | Rabbit | lgG, C4B5             | 3582       | yes                         | Cell Signaling Technology   |  |  |
| ERalpha                                   | Estrogen Receptor alpha                              | Rabbit | SP1/lgG               | RM-9101    | yes                         | Thermo Scientific           |  |  |
| CK  | Cytokeratin  | Mouse  | AE1/AE3/lgG1          | M3515      | yes                         | DAKO                        |  |  |
| CK  | Cytokeratin  | Rabbit | polycional            | ZO622      | yes                         | DAKO                        |  |  |
|   |  |        |                       |            |                             |                             |  |  |
| Eosin                                     | Shandon EosinY aqueous                               |        |                       | 6766009    | yes                         | Thermo Electron Corporation |  |  |
|   |  |        |                       |            |                             |                             |  |  |
| Markers of Hypoxia                        |  |        |                       |            |                             |                             |  |  |
| VEGF                                      | Vascular Endothelial Growth Factor                   | Mouse  | VG1/lgG1, kappa       | M7273      | no                          | DAKO                        |  |  |
| CCND1                                     | Cyclin D1  | Rabbit | lgG/SP4               | RM-9104    | yes                         | Thermo Fisher Fremont       |  |  |
| Caspase                                   | Cleaved Caspase 3 (Asp175)                           | Rabbit | polycional            | 9661       | yes                         | Cell Signaling Technology   |  |  |
| HIF1                                      | Hypoxia Inducible Factor 1                           | Rabbit | polyclonal            | NB 100-449 | yes                         | Novus Biological            |  |  |
| AKAP13                                    | A-kinase anchoring protein13                         | Mouse  | lgG2a/ZX-18           | sc-81902   | yes                         | Santa Cruz Biotechnology    |  |  |
| CDC42                                     |  | Mouse  | lgG3/B-8              | sc-8401    | yes                         | Santa Cruz Biotechnology    |  |  |
| CCNB1                                     | Cyclin B1  | Mouse  | GNS-11/lgG2           | 554178     | yes                         | BD Biosciences              |  |  |
| UBE2Q2                                    | Ubiquitin conjugated enzyme E2 Q2                    | Mouse  | lgG2a/R-16            | sc-100625  | no                          | Santa Cruz Biotechnology    |  |  |
| HIF-2alpha                                | Hypoxia inducible factor - 2alpha                    | Mouse  | ep190b/lgG1           | ab8365     | yes                         | abcam                       |  |  |
| HIF-3A                                    | Hypoxia inducible factor - 3A                        | Rabbit | polyclonal(aa581-592) | LS-B714    | no                          | Lifespan Biosciences        |  |  |
| CA9                                       | Carbonic Anhydrase IX                                | Rabbit | polyclonal(aa581-592) | LS-B273    | no                          | Lifespan Biosciences        |  |  |
| Cleaved Caspase 8                         | Cleaved Caspase 8                                    | Rabbit | lgG, 18C8             | 9496       | in progress                 | Cell Signaling Technology   |  |  |
|   |  |        |                       |            |                             |                             |  |  |
| Markers of phosphorylated proteins        |  |        |                       |            |                             |                             |  |  |
| pAKT 473                                  | phospho-Akt (ser473)                                 | Rabbit | D9E/lgG               | 4060       | yes                         | Cell Signaling Technology   |  |  |
| pAKT 308                                  | Phosho-Akt (Thr308)                                  | Rabbit | C31E5E/lgG            | 2965       | in progress                 | Cell Signaling Technology   |  |  |
| pMAPK                                     | Phospho-p44/43MAPK (Erk1/2) (Thr292/Tyr204)          | Rabbit | lgG                   | 4370       | yes                         | Cell Signaling Technology   |  |  |
| pER                                       | Phospho-Estrogen Receptor alpha (Ser118)             | Mouse  | 16J4/lgG2b            | 2511       | yes                         | Cell Signaling Technology   |  |  |
| Anti-Phosphotyrosine                      | 4G10 Anti-Phosphotyrosine                            | Mouse  | lgG2b                 | 05-1050    | yes                         | Millipore                   |  |  |
| Anti-Phosphoserine                        | 4A4 Anti-Phosphserine                                | Mouse  | lgG1/4A4              | 05-1000    | no                          | Millipore                   |  |  |
| Anti-Phosphoserine/threonine/tyrosine     | Anti-Phosphoserine/threonine/tyrosine                | Mouse  | lgG1/spm101           | AB15556    | no                          | abcam                       |  |  |
| p53                                       | Anti-Human p53 protein                               | Mouse  | lgG2b. DO-7           | M7001      | in progress                 | DAKO                        |  |  |
| Markers of posttranslational modification |  |        |                       |            |                             |                             |  |  |
| Sumo1                                     | small ubiquitin related modifier 1                   | Rabbit | Y299/lgG              | ab32058    | yes                         | abcam                       |  |  |
| Acetylated-Lysine                         | proteins posttranslat. Modified by acetylation       | Rabbit | polyclonal, purified  | 9441       | yes                         | Cell Signaling Technology   |  |  |
| NEDD8                                     | neural precursor cell-expr. devel. Downreg. protein9 | Rabbit | IgG, 19E3             | 2754       | yes                         | Cell Signaling Technology   |  |  |

### Change in expression as a function of time to fixation



**GAPDH - Tumor Mask** 

time to fixation

**Veronique Neumeister** 

time to fixation

# Building the TQI Model



- 1. Select the two variables that are most positively correlated with TIME and the two variables that are most negatively correlated with TIME.
- 2. Define the sum of the first two variables "X1" and the sum of the last two variables as "X2".
- We predict a sample to be fresher than 60 minutes if X2>X1.
- 4. If X1>X2, then sample is predicted to be collected more than 60 minutes after resection.

### Building the TQI Model



We repeated the procedure 500 times and we computed the average sensitivity (black bars) and the average specificity (red bars) for each marker. Values above the 0.5 threshold indicate that the marker is performing better than a random classifier.

Sensitivity and specificity were assessed in the time interval between 30 minutes and 100 minutes

#### Fabio Parisi and Yuval Kluger

## **TQI Model Construction**

Best Model from full data training:

### X1\*=Lamin+Hif2a X2=MAPK+miR221

\* AKAP13 is a candidate substitute for X1 in case of technical issues in measuring Lamin or Hif2a.



Analysis restricted to the interval between 30minutes and 100minutes, = 77% of the total observations

- Histogram of density of observations, bottom of the plot

-Times of each observation in the interval, red crosses.

### **TQI** Model Assessment



The variables selected in the model trained on the full data exhibited performances that were among the highest in the dataset.

### Validation of the TQI (TMA under construction)

| Time of the tissue<br>in formalin | 1 hour     | 2 hours    | 24 hours   | 72 hours   | 1 hour | 2 hours | 24 hours | 72 hours | 1 hour | 2 hours | 24 hours | 72 hours | 1 hour | 2 hours | 24 hours | 72 hours |           |
|-----------------------------------|------------|------------|------------|------------|--------|---------|----------|----------|--------|---------|----------|----------|--------|---------|----------|----------|-----------|
|                                   | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |        |         |          |          |        |         |          |          |        |         |          |          | Patient 1 |
| Normal                            | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |        |         |          |          |        |         |          |          |        |         |          |          | Patient 2 |
| Breast                            | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |        |         |          |          |        |         |          |          |        |         |          |          | Patient 3 |
| TMA                               | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |        |         |          |          |        |         |          |          |        |         |          |          | Patient 4 |
|                                   | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ | $\bigcirc$ |        |         |          |          |        |         |          |          |        |         |          |          | Patient 5 |
|                                   |            |            |            |            |        |         |          |          |        |         |          |          |        |         |          |          |           |

Time to fixation 15 – 30 min



Time to fixation 1 hour

Time to fixation 4 – 12 hours

**Normal Breast TMA** 

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# QIF measurement of ER, PgR, HER2, and Ki67 on TTF TMA

ER by SP1 – 415 min



ER by SP1 - 120 min





time to formalin in minutes

PgR by 636 – 120 min



time to formalin in minutes

# QIF measurement of ER, PgR, HER2, and Ki67 on TTF TMA



HER2, CB11 120 min time to formalin in minutes

Ki67, SP6



Ki67, SP6



Conclusions: Assessment of the Effects of Time to Fixation on Common Markers

- No significant loss of expression for ER, PgR, HER2 or Ki67 within 120 minutes (under-powered for longer time points)
- For complete details see Poster by Neumeister et al.
- Arguably, we have tested the wrong time window; it appears that loss occurs after 120 minutes



5077 women with breast cancer among the study hospitals were tested for ER/PR between 1997 and 2003 in central lab

Frequency of ER and PR negative test results by day of surgery

| Day       | Cases | ER-Negative | <b>PR-Negative</b> |
|-----------|-------|-------------|--------------------|
| Sunday    | 16    | 3           | 6                  |
| Monday    | 1252  | 230         | 325                |
| Tuesday   | 1176  | 248         | 332                |
| Wednesday | 784   | 170         | 212                |
| Thursday  | 904   | 191         | 259                |
| Friday    | 919   | 216         | 276                |
| Saturday  | 26    | 7           | 8                  |
| System    | 5077  | 1065        | 1418               |

Frequency of ER/PR negativity significantly increased with each day of the week, both for ER (P = 0.03) and PR (P = 0.059 for trends).

Abbreviations: ER, estrogen receptor; PR, progesterone receptor.

Hammond et al. Arch Pathol Lab Med. 2010;134:606-612

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#### Automated scoring systems and algorithms for DAB stain ......

#### Positive Pixel Count Algorithm User's Guide



#### The Positive Pixel Count Algorithm

The Positive Pixel Count algorithm can be used to quantify the amount of a specific stain present in a scanned slide image. You will specify a color (range of hues and saturation) and three intensity ranges (weak, positive, and strong). For pixels which satisfy the color specification, the algorithm counts the number and intensity-sum in each intensity range, along with three additional quantities:

average intensity, ratio of strong/total number, and average intensity of weak+positive pixels.

The algorithm has a set of default input parameters when first selected—these inputs have been pre-configured for Brown color quantification in the three intensity ranges (220-175, 175-100, and 100-0). Pixels which are stained, but do not fall into the positive-color specification, are considered negative stained pixels—these pixels are counted as well, so that the fraction of positive to total stained pixels is determined.

- Requires binning into 3 categories by intensity range
- Requires user-defined selection of region of interest (no masking capacity as used here)

#### IHC Nuclear Image Analysis Algorithm:

This algorithm is based on a cell feature detection method. Optical density is then measured in the nuclei. Based on the intensity, nuclear staining is classified as negative (0), weak positive (1+), medium (2+) or strong positive (3+).

Chapter 1 - Overview

#### Algorithm Description

Prior to running the algorithm, a qualified pathologist needs to use the ImageScope annotation tools to outline a set of tumor-cell only regions that are representative of the tumor.

The IHC Nuclear Image Analysis algorithm detects the nuclear staining for a target chromogen for the individual cells in those regions and quantifies their intensity. Nuclear staining classified as 0, 1+, 2+ and 3+ is based on nuclear staining intensity. A nucleus is classified 0 when it has no nuclear staining. A nucleus is classified 1+ when it has weak nuclear staining. A nucleus is classified 2+ when it has moderate nuclear staining. A nucleus is classified 3+ when it has intense nuclear staining. Based on the percentages of 0, 1+, 2+ and 3+ nuclei, the percentage of positive stained nuclei as a percentage of 0 to 100% and the average staining intensity of the positive nuclei as a score of 0, 1+, 2+ or 3+ is determined.



IHC Nuclear Image Analysis User's Guide

#### **Final Score**

2

The IHC Nuclear Image Analysis is intended to be used as an aid to a pathologist. It is the responsibility of the pathologist to provide the final score based on his/her qualitative assessment and the quantitative information provided by the IHC Nuclear Image Analysis algorithm.

 The pathologist determines the final percentage of positive nuclei and average staining intensity of positive nuclei.







#### Annotation

#### of a TMA spot, stained for ER SP1

#### **Positive Pixel Count**

| Algorithm                                 | Positive Pixel Count v9 |
|---|-------------------------|
| Date                                      | 2012/01/26              |
| StartTime                                 | 03:28:11 AM             |
| EndTime                                   | 03:28:12 AM             |
| Status                                    | 0                       |
| StatusDescription                         |                         |
| Nwp = Number of Weak Positive             | 50202.                  |
| Np = Number of Positive                   | 142108.                 |
| Nsp = Number of Strong Positive           | 353940.                 |
| Iwp = Total Intensity of Weak Positive    | 9653366.                |
| Ip = Total Intensity of Positive          | 19860575.               |
| Isp = Total Intensity of Strong Positive  | 13294586.               |
| lavg = (lwp+lp+lsp)/(Nwp+Np+Nsp)          | 78.368                  |
| Nsr = Nsp/(Nwp+Np+Nsp)                    | 0.647945                |
| lwavg= (lwp+lp)/(Nwp+Np)                  | 153.471                 |
| Nn = Number of Negative                   | 459702.                 |
| In = Total Intensity of Negative          | 80647809.               |
| NTotal = Total Number (Positive+Negative) | 1005952.                |
| ATotal = Total Area (millimeter-squared)  | 0.24827925454847999     |
| Positivity = NPositive/NTotal             | 0.543018                |

#### Nuclear Algorithm

| Algorithm                  | Nuclear v9  |
|----------------------------|-------------|
| Date                       | 2012/01/17  |
| StartTime                  | 06:42:53 AM |
| EndTime                    | 06:42:58 AM |
| Status                     | 0           |
| StatusDescription          |             |
| Percent Positive Nuclei    | 84.6154     |
| Intensity Score            | 3           |
| (3+) Percent Nuclei        | 75.2275     |
| (2+) Percent Nuclei        | 6.69975     |
| (1+) Percent Nuclei        | 2.68817     |
| (0+) Percent Nuclei        | 15.3846     |
| Average Positive Intensity | 130.888     |
| Average Negative Intensity | 237.629     |
| (3+) Nuclei                | 1819        |
| (2+) Nuclei                | 162         |
| (1+) Nuclei                | 65          |
| (0+) Nuclei                | 372         |
| Total Nuclei               | 2418        |



Intra array reproducibility on the time to fixation array for ER SP1 – Assessed with IF/AQUA and IHC/positive pixel count and nucl. algorithm











TN3 first fold Nucl alg.: 0 Pos pix count: 0.09 AQUA: 176











max AQUA ESR1 max perc pos pix ESR1

Nuclear algorithm: from 1,2,3 to a scale from 0-300: intensity \* percent positive nuclei



#### Assessment of possible change of ER expression according to increasing time to







time to fixation inminutes

n

### Yale Pathology Tissue Services

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Rimm Lab 2010

www.tissuearray.org